Advancing Methods for Equivalence of Ophthalmic Products A New Vision for the Future

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PRECLINICAL TESTING FOR DRUGS, BIOLOGICS AND MEDICAL DEVICES

Presentation Summary

- Challenges of Ocular Drug Delivery
- Considerations for Ocular Drug Formulation
- Regulatory View on Bioequivalence
- Non-clinical Models for Totality of Evidence
- Enabling High Quality Cost Effective Generics



Impact of Ocular Disease

- 285 million people are estimated to be visually impaired worldwide
 - 39 million are blind and 246 have low vision.
- About 90% of the world's visually impaired live in lowincome settings.
- The number of people visually impaired from infectious diseases has reduced in the last 20 years

80% of all visual impairment can be prevented or cured.



Source: WHO

Topical Versus Systemic Delivery



VS



Eye drops allow therapeutic concentrations of drug to be achieved selectively in aqueous humor/ocular tissues







Getting the Drug In





Ocular Barriers

Anterior Segment

Cornea Epithelium Cornea Stroma Cornea Endothelium Conjunctiva Iris-Ciliary Body (ICB) Sclera **Posterior Segment** Choroid Lens

- RPE
- Retina
- Vitreous Humor





Corneal Barrier

Low Corneal Permeability

Optimizing Log P



Superficial absorption into conjunctiva and sclera and rapid removal by peripheral blood flow



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Physiological Factors

Limited dose volume Short residence time Dilution and drainage Metabolism and transporters Multiple barriers Local adverse effects





Ocular bioavailability

- Transport of hydrophilic and macromolecular drugs occurs through scleral route
- Lipophilic small molecule can permeate the cornea, and move into the aqueous humor via *Fickian* diffusion
- Drug needs dual solubility (oil and water soluble) to traverse the corneal epithelium (lipid barrier) then the aqueous humor
- BA assay sensitivity



Ocular Formulations

Key Components

- Active ingredient(s)
- Vehicle
- Inactive ingredients:
 - Tonicity adjustment
 - Buffer and adjust pH
 - Anti-oxidants
 - Solubilizers
 - Suspending agents and viscosifiers
 - Prevent microbial contamination



Stability of Active Ingredient

- Degradation of active ingredient, due to excessive heat, light exposure, or contamination, can compromise efficacy₁₋₃
 - Stable at room temperature (refrigeration not required)
 For example, bimatoprost is more stable than other PGAs
 - Not sensitive to light or air exposure (storage in colored bottle or lightproof, airtight foil pouch not required)

- 1. Cantor. *Expert Opin Pharmacother*. 2002;3(12):1753-1762.
- 2. Paolera et al. *BMC Ophthalmol.* 2008;8:11.
- 3. Johnson et al. *J Ocul Pharmacol Ther*. 2011;27(1):51-59.



Tonicity

- Tonicity of an ophthalmic solution needs to be adjusted so that it
 - Exerts an osmotic pressure equal to that of tear fluids (roughly equivalent to 0.9% NaCl ideally)
- Some ophthalmic solutions are necessarily hypotonic to enhance absorption and provide concentration of active ingredient sufficient to achieve efficacy
 - Hypotonic solutions are better tolerated than hypertonic solutions
- Common tonicity-adjusting ingredients include: NaCl, Cl, buffer salts, dextrose, glycerin, propylene glycol, and dmannitol

Ghate and Edelhauser. *J Glaucoma*. 2008;17(2):147-56.

рΗ

- Critical for a Topical Ophthalmic
- pH adjustment is an important step in formulation:
 - Influences the comfort and tolerability of the drug product
 - Affects bioavailability Optimizes solubility and permeability
 - Minimizes lacrimation and tear dilution/ drainage of active
 - Provides stability for the active
 - Buffer capacity greatly affects pH tolerability



Surfactants

- Several nonionic surfactants are used in relatively low concentrations to:
 - Aid in dispersing steroids in suspensions
 - To achieve drug solubility or to improve solution clarity
 - Polysorbate, tyloxapol, polyoxyl 40 stearate
- The order of surfactant toxicity is:
 - Anionic > cationic » nonionic
 - Nonionic surfactants preferred for ophthalmic use

Modern Pharmaceutics 4.ed 2006



Viscosifiers

 Used to increase the viscosity of ophthalmic solutions and suspensions

- Improve suspension stability
- Increase precorneal residence time by decreasing drainage rate and increasing mucoadhesiveness, resulting in potentially increased drug bioavailability
- Act as a demulcent (protects ocular surface and relieves dryness/irritation)
- Provide lubrication of the corneal surface
 - Commonly used viscosifiers include: polyvinyl alcohol, sodium carboxymethylcellulose, hydroxypropyl methylcellulose, hydroxyethylcellulose, and carbomers



Impact of Formulation Type



Rabbit study of ³H-fluorometholone

Sieg et al, J Pharm Sci, 1975



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Preservatives

Commonly Used	Historically Used
Benzalkonium chloride (BAK or BAC)	Sorbic acid
PURITE® (stabilized oxychloro	Thiomersal
complex)	
Polyquad [®] (polyquaternium-1)	Benzododecinium bromide
Sodium perborate	Chlorobutanol/phenylethanol
sofZia®	Parabens
Polyhexamethylene biguanide	Phenylmercuric acetate or nitrate
(PHMB)	

Preservatives differ in their mechanism of action, antimicrobial specificities and safety profile BAK is the most commonly used ophthalmic preservative (72% of ophthalmic solutions)

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Abelson and Fink, 1992



OGD View on Complex Drugs

- Can have Generics (ANDA Approvals)
 For e.g. enoxaparin (2011), acyclovir (2013)
- Can be controversial

Citizen petitions/International differences (clinical studies for EMA)/non-biological complex drugs as a new category outside ANDA pathway

 Are more complex than other ANDA Complex development/Longer reviews

Robert Lionberger, GPhA Fall 2013 meeting



GDUFA Initiatives

GDUFA FY 2014 Regulatory Science Priorities

- Post-market Evaluation of Generic Drugs
- Equivalence of Complex Products
- Equivalence of Locally Acting Products
- Therapeutic Equivalence Evaluation and Standards
- Computational and Analytical Tools



Pathway to FDA Approval

"Currently, generic ophthalmic solutions are expected to have the same active and inactive ingredients in the same concentrations (both active and inactive). If they are not the same, then a study comparing the clinical bioequivalence has to be performed. If the product is anything other than a solution, where manufacturing issues could potentially make a difference, the generic has to have a study demonstrating equivalence, even if the actives and inactives are the same"

Questions from AGS members, answered by Wiley Chambers, MD, FDA Deputy Director for the Division of Transplant and Ophthalmology Products, March 2011



BE Requirements

- Methods used to define bioequivalence can be found in 21 CFR 320.24, and include:
- FDA may require in vivo or in vitro testing, or both, to measure the bioavailability of a drug product or establish the bioequivalence of specific drug products
 - In Vivo PK systemic distribution
 - In Vitro correlated and predicted on human in vivo
 - Mass balance applicable only when urinary excretion
 - In Vivo PD study for local acting
 - Clinical trials- BA
 - In vitro dissolution tests
 - Any other deemed suitable by FDA



BE issues for ophthalmic products

Ophthalmic Preparations: AT rating "Probable Bioequivalence to the Branded Product"

Without published head to head clinical studies comparing generic ophthalmic drugs to brand-name agents it is difficult to ascertain whether there is true equivalence





Ophthalmic Solutions

Q1& Q2

- +/- 5% inactive ingredients
- Pharmaceutical equivalence results in bioequivalence
- Biowaiver is requested
- Only potential differences may be in Q₃ (due to manufacturing processes)
 - Requires evaluation of:
 - Rheology
 - In Vitro release



Ophthalmic solutions Draft Guidance

Xalatan draft guidance - 2008

Active ingredient:	Latanoprost
Form/Route:	Solution/Drops; Ophthalmic
Recommended study:	Request for Waiver of In vivo Bioequivalence Study Requirements

To qualify for a waiver of the *in vivo* bioequivalence (BE) study requirements under 21 CFR 320.22(b)(1), a generic latanoprost ophthalmic solution product must have the same active and inactive ingredients in the same concentration as the reference listed drug product (RLD).

For an ophthalmic drug product that differs from the RLD in preservative, buffer, substance to adjust tonicity, or thickening agent [(as permitted by the chemistry, manufacturing and controls (CMC) regulations for abbreviated new drug applications (ANDAs), 21 CFR 314.94(a)(9)(iv)], the regulation specifies that the applicant must identify and characterize the differences and provide information demonstrating that the differences do not affect the safety or efficacy of the proposed drug product.



Challenges with suspensions/emulsions

- Pharmaceutical equivalence may not translate to bioequivalence
- Q1 & Q2 formulations may have different physicochemical properties
 - Particle size
 - Size distribution
 - Viscosity
 - Zeta potential
 - pH
- These properties can affect pre-corneal residence time, drug release and rate and extent of drug delivery to the target site



Issues with bioequivalence

- Differences in extended-release gel vehicles (gellan versus xanthan) can impact corneal residence time.
 - Timoptic-XE (timolol maleate ophthalmic gel-forming solution, Merck) has better surface contact resulting in improved efficacy compared to the generic
- Differences in particle size impacts aggregation resulting altered dosage consistency and concentrations
 - Micro-fine suspension in its Pred Forte (prednisolone acetate) is more uniform, remains longer in the conjunctival sac and minimizes mechanical irritation to the eye compared to generic prednisolone acetate



First ophthalmic emulsion waiver

Cyclosporine draft guidance – 2013

	Active ingredient:	Cyclosporine
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Form/Route: Enulsion/Ophthalmic

Recommended study: 2 Options: In Vitro or In Vivo Study

I. In Vitro option:

To qualify for the in vitro option for this drug product pursuant to 21 CFR 320.24 (b)(6), under which "any other approach deemed adequate by FDA to measure bioavailability or establish bioequivalence" may be acceptable for determining the bioavailability or bioequivalence (BE) of a drug product, all of the following criteria must be met:

- The test and Reference Listed Drug (RLD) formulations are qualitatively and quantitatively the same (Q1/Q2).
- Acceptable comparative physicochemical characterization of the test and RLD formulations. The comparative study should be performed on at least three lots of both test and reference products.

Parameters to measure: Globule size distribution, viscosity, pH, zeta potential, osmolality, surface tension.

Bioequivalence based on (95% CI): Population bioequivalence based on D_{50} and SPAN (D_{80} - D_{10}) D_{50} or polydispersity index for the globule size distribution only (the other parameters do not require population bioequivalence analysis). The population bioequivalence analysis should be performed separately for each peak detected in the globule size distribution of the RLD. The separation of the peaks should be determined by the minimum value located between the peaks of the RLD.

 Acceptable comparative in vitro drug release rate tests of cyclosporine from the test and RLD formulations.



Characterization based equivalence

USP Chapter 1724: Performance tests for semi solid drug products

- Assess general quality attributes
 - Integrity of the dosage form
- Assess product performance (e.g. drug release)
 - Relates to in vivo drug performance

 Taken together, quality and performance tests are intended to ensure the identity, strength, quality, purity, comparability and performance of semi-solid drug products

Provides measurable index to anticipate performance of the dosage form in the clinic



Citizen Petition- Restasis

SCHEMATIC OF EMULSION INFRASTRUCTURE



- Distribution of drug is dependent on Q1Q2, grade of excipients used and manufacture process
- Rate and extent of drug distribution in tissues impacted by amount of drug in the different phases of the emulsion
- Interaction and drug release depends on emulsion and conditions of ocular surface



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Building Totality of Evidence

In Vitro

- Rate of release of active ingredient from the vehicle
- Ex Vivo
 - Comparative Flux across excised corneal or conjunctival tissue
- In vivo
 - Ocular PK and distribution
 - Efficacy (CEP)





In Vitro Release Testing

- The underlying principal is to determine the diffusion of the active ingredient
 - From the semi-solid matrix
 - Across a membrane
 - Into an appropriate medium
 - Representing the clinical use of the semi solid dosage form as close as possible

SUPAC Guidance used as the basis of study design parameters and criteria



In Vitro Release Testing

- Diffusion cell system
- Synthetic membrane
 - Appropriate inert synthetic membranes such as polysulfone, cellulose acetate/nitrate mixed ester, polytetrafluoroethylene 70 $\mu{\rm M}$

Receptor medium

Appropriate receptor medium such as aqueous buffer for water soluble drugs or a hydro-alcoholic medium for sparingly soluble drugs or another medium with proper justification

- Number of samples: 6 recommended
- Sample applications: Infinite dose condition
- Sampling time

Multiple sampling times over an appropriate time period to generate an adequate release profile and to determine the drug release rate (a 6-hour study period with not less than five samples)



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In Vitro Release Testing

- Apparatus
- Selection of Membrane
- Solubility of API in receptor medium
- Method Validation



Suitability Assessments



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Ex Vivo Corneal and Conjunctival Permeability/Flux

- Freshly excised corneal and conjunctival tissue from Dutchbelted rabbit eyes
- Low-volume Vertical Diffusion Ussing Chamber
- Buffer/Analytical Matrix: Glutathione-Bicarbonate Ringer (GBR) - pH 7.4
- Unidirectional Flux Assessment across excised corneal or conjunctival tissue
- N=6 replicates per treatment group
- Suitable high and low permeability reference standards
- Flux and Percent Recovery
- Post-experiment tissue integrity results (atenolol P_{app})



Rabbit Cornea Permeability: System Validation

	Cornea P _{app} (x 10 ⁻⁶ cm/s)	SD	Conjunctiva P _{app} (x 10 ⁻⁶ cm/s)	SD
Acebutalol	4.68	0.39	3.24	0.94
Acetazolamide	1.28	0.26	3.39	1.23
Apraclonidine	3.11	1.78	12.6	4.74
Atenolol	1.84	0.46	4.95	1.19
Betaxolol	32.0	4.42	5.24	1.94
Brimonidine	28.8	1.22	6.73	2.03
Brinzolamide	0.91	0.93	5.15	1.28
Bufarolol	19.0	4.56	3.58	0.58
Ciprofloxacin	0.42	0.35	4.48	3.31
Clonidine	46.7	8.73	12.6	4.52
Dexamethasone	5.08	0.71	4.38	0.22
Dexamethasone Acetate	BLQ	N/A	BLQ	N/A

Rabbit Cornea Permeability: System Validation

	Cornea P _{app} (x 10 ⁻⁶ cm/s)	SD	Conjunctiva P _{app} (x 10 ⁻⁶ cm/s)	SD
Dorzolamide	0.99	0.35	4.17	1.51
Ethoxzolamide	21.1	2.81	1.90	0.80
Fluorescein	1.07	0.42	3.84	1.04
Latanoprost	0.07	0.14	BLQ	N/A
Latanoprost acid	96.8	83.0	2.59	2.22
Levolbunolol	19.5	1.70	5.51	3.70
Moxifloxacin	8.91	0.94	5.98	3.49
Propranolol	23.9	8.37	2.48	1.65
Testosterone	27.6	5.37	2.20	2.47
Timolol	37.0	6.41	5.15	2.62



Variability: Intra and Inter-Assay

	Atenolol		Ar	ntipyrine
	DB Cornea CV	DB Conjunctiva CV	DB Cornea CV	DB Conjunctiva CV
Week 1	28%	25%	16%	40%
Week 2	25%	19%	6%	18%
Week 3	32%	22%	8%	48%
Week 4	40%	45%	12%	53%
Week 5	38%	33%	9%	27%
Week 6	27%	47%	6%	24%
Week 7	19%	27%	9%	10%
Week 8	26%	26%	7%	9%
Week 9	59%	32%	47%	39%
Week 10	24%	38%	6%	20%
Average	32%	31%	13%	29%
Range	19-59%	19-47%	6-47%	9-53%
Median	28%	30%	8%	26%



Model Discrimination

Corneal Permeability vs. Literature Values





Rabbit vs Human

In Vivo Rabbit and Human Corneal Permeability





Esterase Activity Across Tissues





Formulation Comparison

Flux across excised rabbit corneal tissue





Bimatoprost comparative study



Group	Ν	Donor	Receiver and Time Points
Test 1		1.5 mL of	Plain GBR buffer,
Test 2	4/group	each formulation	sample at T=30, 60, 90,
Reference			120/150 and 100 min



In Vivo Models

- Ocular PK and Biodistribution
 - Male or female NZW (prequalified)
 - N=3 or 5 per group
 - Topical dosing –QD both eyes
 - Sampling schedule: 12- 18 time points
 - Matrices: Plasma, Aqueous humor, Cornea, Conjunctiva, Iris/ciliary body, Retina/choroid and Sclera
- Efficacy/Clinical End Point
 - Glaucoma (IOP measurements)
 - Dry Eye (TBUT, Schirmer)
 - Wet AMD (laser)



Preclinical Models





Interspecies Comparison

Eye	Radius (mm)	Area (sq mm)
Rabbit ^b	9	1018
Monkey	9.5	1134
Human ^a	12	1810

^a Tripathi RC, et al., In The Eye, ed. Hugh Davson, 3rd Ed., Academic Press, NY. 1984, Pg 5

^b Prince JH, et al., Anatomy and Histology of the Eye and Orbit of Domestic Animals. Charles Thomas, Springfield, IL. 1960, pg. 268.

Eye	Vitreous Humor Volume (mL)	Ratio
Rat	0.02	200
NZW Rabbit	1.5	2.7
Cyno Monkey	1.5	2.7
Human	4.0	1.0

Short B (2008). Tox Path., 36: 49.



Short B (2008). Tox Path., 36: 49.



Species Differences

Factor	Rabbit	Human
Tear volume (mL)	5-10	7-30
Tear turnover rate (mL/min)	0.6-0.8	0.5-2.2
Spontaneous blinking rate	4-5 times/hour	6-15 times/min
Nictitating membrane	Present	Absent
Lacrimal punctum/puncta	1	2
pH of lacrimal fluids	7.3-7.7	7.3-7.7
Milliosmolarity of tears	305	305
Corneal thickness (mm)	0.4	0.52
Corneal diameter (mm)	15	12
Corneal surface area (cm2)	1.5-2.0	1.04
Ratio of conjunctiva:cornea surface	9	17
Aqueous humor volume (mL)	0.25-0.3	0.1-0.25
Aqueous humor turnover (mL/min)	3-4.7	2-3



Species Selection

	Human (mL)	Dog (mL)	Rabbit (mL)	Pig (mL)
Anterior chamber	0.3	0.4	0.3	0.3
Posterior chamber	0.06	0.2	0.06	
Lens volume	0.2	0.5	0.2	0.5
Vitreous volume	3.9	3.2	1.5	3.0

- Typical dose: ~50 uL in the rabbit
- Rabbit ocular tissue separate
- Rat pool tissues from both eyes



Effect of Melanin Binding On Ocular Tissue Exposure

- Study conducted in Sprague Dawley albino and Brown Norway pigmented rats
- Celecoxib was dosed in ipsilateral eye only, but tissues were collected in both dosed and undosed eyes





Clinical End Point - Glaucoma

- Drug eluting device
- Implanted in anterior chamber of Beagle dog
- IOP measurements
- Study Duration: Over 1 year







Correlation with human data

Reference	Mechanism of	Human		Rabbit			
Compound	Action	Used Clinically	Concentration	Response	Used Experimentall Y	Concentration	Response
β-Blockers: Betaxolol	β-selective adrenergic blocking agent, ↓ aqueous formation	Yes	0.25%, 0.5%, 1%	>-3 mmHg	Yes	0.01%	-3 mmHg
Levobunolol	Non-selective adrenergic blocking agent, ↓ aqueous formation	Yes	0.25%, 0.5%, 1%	-8 mmHg (2 hrs)	Yes	0.5% - 2% 0.25%, 0.5%,	-4 mmHg -4mmHg
L-Timolol	w W	Yes	0.25%, 0.5%	> -5 mmHg	Yes	1%-4%, 6%, 8% 0.5%, 1%, 2%	(1 hr)
D-Timolol		No	0.1%, 0.5%, 1%, 2%	> -5 mmHg (2 hrs)	Yes		(2 hrs)



Case Study 1 – Brinzolamide ophthalmic suspension (Azopt®)



- 1% ophthalmic suspension
- Specific, non-competitive, reversible inhibitor of carbonic anhydrase II (CA II)
- Suppresses formation of AH and thereby reduces IOP
- RLD: Azopt[®] (Alcon), FDA approved in 1998
- No approved generic in the US



In Vivo PK and Ocular biodistribution

- Comparative ocular distribution study of test formulation versus the reference of brinzolamide
- Dosed in the eyes of male New Zealand White Rabbits for 1, 3, 5, 7, and 14 days
- Ocular compartments analyzed:
 - Cornea and conjunctiva have the most direct contact with the drug suspension after topical administration.
 - Aqueous humor shows the levels of the test article that passed into the anterior chamber.
 - Iris/ciliary body to see how much test article reached the tissue that is the site of its pharmacodynamic action



Clinical End Point

- Steroid Induced Hypertensive Model
- Model Induction: 2-3 weeks
- Daily IOP measurements





Treatment Day



Glaucoma - Reference versus test





Reference versus test





Case Study 2 – Cyclosproine ophthalmic emulsion (Restasis®)



- o.o5% emulsion
- Launched by Allergan in 2003
- First, and still the only FDA approved prescription drug for chronic dry eye disease
- Just 2 drops a day allows to "attack" the underlying inflammatory characteristic of the disease and allow patients to produce natural tears

IVRT Profiles – Reference versus Reference



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IVRT Profiles – Test versus Reference



IVRT Profiles from Test and Reference(Up to 60 min) (Mean ± SD)



Clinical End Point - Dry Eye / KCS

- Topical administration of Atropine
- Increased airflow
 & low humidity
 (<20%)
- Fluorescein Tear Breakup Test
- Schirmer Tear Test





Avastin/Lucentis

- Treatment for age related macular degeneration (wet/neovascular form of AMD)
- Anti-VEGF therapies
- Intra-vitreal injection



Ocular Bio-distribution



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Y axis -Concentrations: µg/mL

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Ocular Bio-distribution



Y axis - Concentrations: µg/mL



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Clinical End Point - Wet AMD

 Laser Induced Choroidal Neovascularization (CNV)
 Analysis of vascular leakage via Fluorescein Angiography







Wet AMD

Fluorescein angiography



Positive Control Avastin

Control Treatment



Patient Perspective: Price per day of therapy

Brimonidine tartrate 0.15% ophthalmic solution (Sandoz): 2005 - 2013



Schondelmeyer S, 2014



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Patient Perspective



