

# REGULATORY PERSPECTIVE

Dr. Raghunandan H V

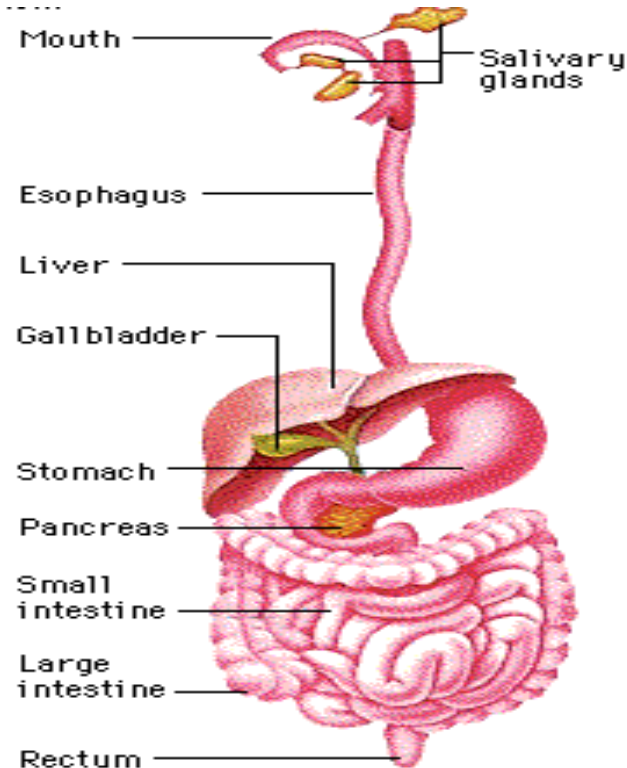
Associate Professor – JSSCP, JSSU, Mysore

# Contents

1. Role of Dissolution Testing in Generic Drug Approval
2. Dissolution Testing Recommendation for Solid Oral Dosage Forms
3. Biowaivers for Generics (BCS Based and others)
4. Role of Dissolution in Post Approval Changes ( SUPAC)
5. Dissolution Test Alcohol Induced Dose Dumping
6. Dissolution Test for Non Oral Solid Dosage Forms
7. DESI Drugs Approvals

# Does *In-vitro* Dissolution meeting *In-Vivo* requirement?

3



- **Disintegration**
- Solids transfer
- **Dissolution**
- **Changing pH**
- **Food and drink**
- Absorption
- Clearance

*10-10-2013 FDA Update:  
Bupropion Hydrochloride  
Extended-Release 300 mg  
Bioequivalence issue – Watson  
recalled (product equivalence  
code changed to AB to BX)  
Welbutrin XL 300 mg ( Innovator  
product was RLD)*

Ref.Website: <http://www.google.com/images> - Digestion System

# Pharmaceutical Dissolution and its importance Today

4

Application Type	Format
NDA	eCTD
SNDA	eCTD
BLA	eCTD/eBLA
ANDA	eCTD
IND	eCTD/eIND

15000 Generics have been approved  
by FDA till date in US alone  
Total Market Cap is more than 150  
Billion USD

NDA- New Drug Application; SNDA- Supplement New Drug Application; BLA- Biologic License Application; ANDA- Abbreviated New Drug Application ; IND- Investigational New Drug Application

# Dissolution Test in Approving the Generic Drugs

## Requirements:

5

Life Cycle of the Generic product ( SUPAC)

Robust Rugged, highly discriminating dissolution Methods (OGD,DBE)

Minimum 12 units to be tested (both innovator and Test Product)

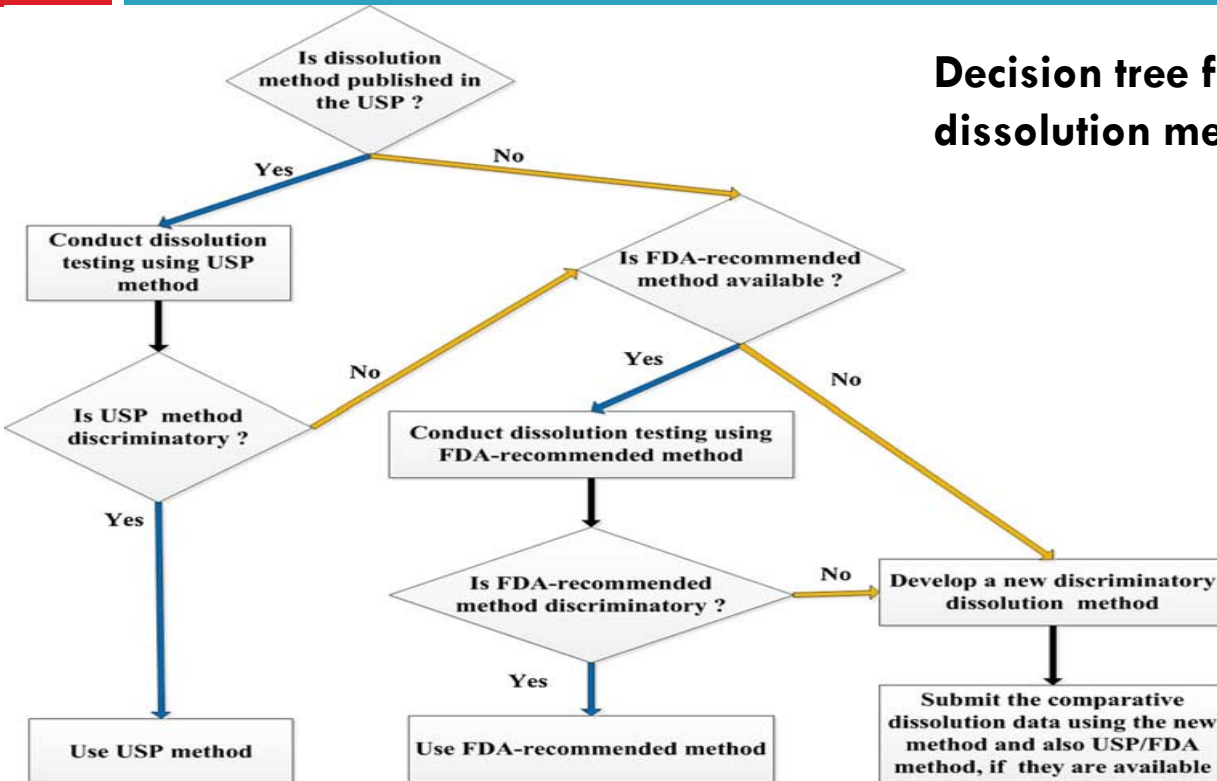
Minimum 3 to 4 times sampling with equal spacing other than Zero Time for Immediate Release Products more for Extended Release Tablets

# Merits of Dissolution Studies – Approval Process(CMC)

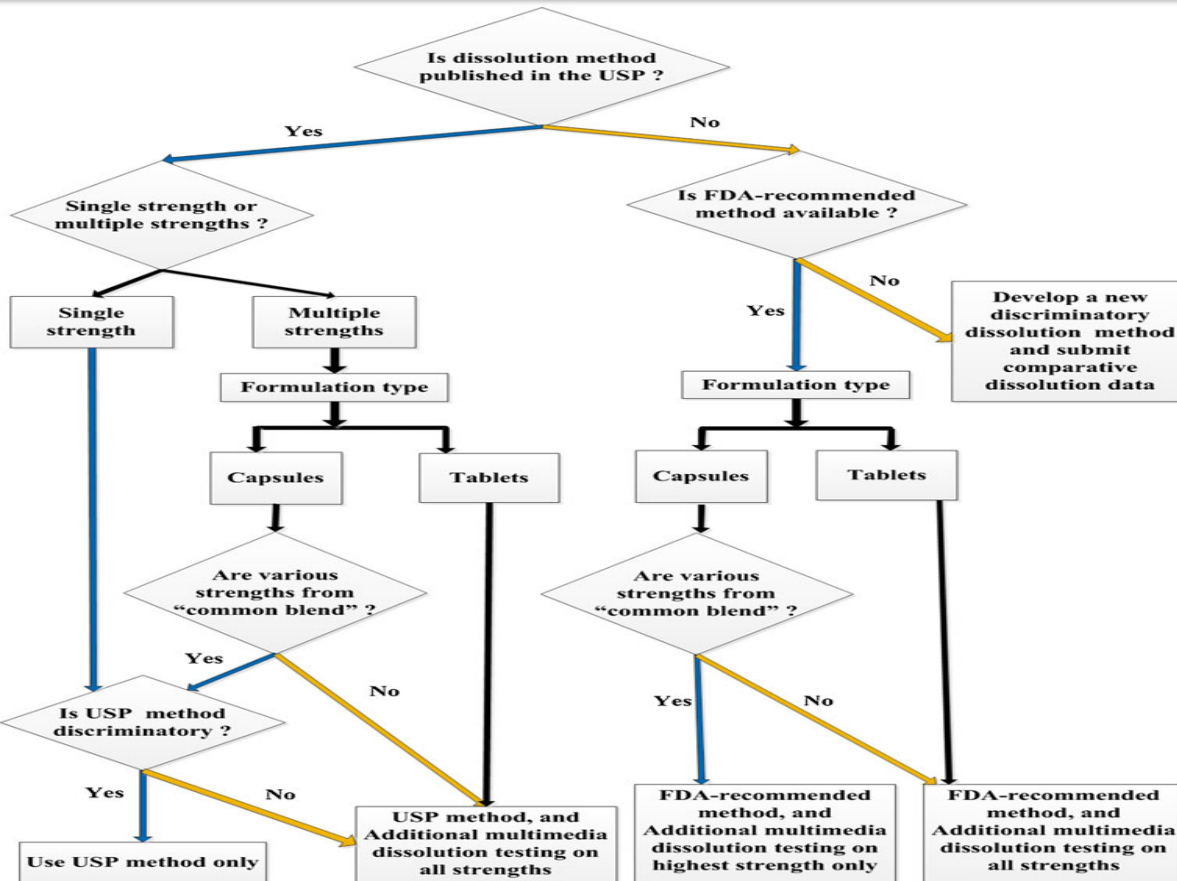
6

1. *In vitro* dissolution testing is an important tool for development and **approval of generic dosage forms**.
2. Routinely used for stability and **quality control purposes** for both oral and non-oral dosage forms.
3. Reduces the **Regulatory Burden for approval by reducing the clinical without sacrificing Quality**
- .

## Decision tree for ANDA sponsors to select a dissolution method for their generic product



# Decision Tree for Extended Release Dosage Form



Decision-tree for ANDA sponsors for submitting dissolution testing data for an extended-release solid oral generic drug product.

{“Common blend”: A batch of final blend that can be packed in different amounts providing various strengths of the capsule product. Multimedia: lower pH, e.g. 1.2; medium pH, e.g. 4.5; higher pH, e.g. 6.8 and water}



# Dissolution Testing and BCS Based Bio-waivers

# Bio-waiver for Rapidly Soluble Drugs

10

**21 CFR part 320** address the requirements for bioavailability (BA) and BE data for approval of drug applications.

**21 CFR part 320:22 address the waivers**



## BCS Classification

Class 1 – High Solubility, High Permeability

Class 2 – Low Solubility, High Permeability

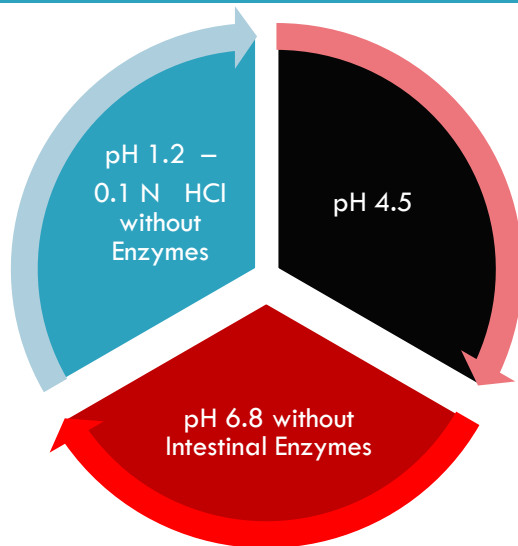
Class 3 – High Solubility, Low Permeability

Class 4 – Low Solubility – Low Permeability

Ref.: Food and Drug Administration. Guidance for industry: waiver of in vivo bioavailability and bioequivalence studies for immediate release solid oral dosage forms based on a bio-pharmaceutics classification system. Rockville, MD: US Department of Health and Human Services, FDA, Centre for Drug Evaluation and Research; August 2000.

# Dissolution – Bio-waiver BCS Class I

11



**85% of the Drug Release in 30 minutes using Type I @ 100 RPM Or 85% of the Drug Release in 60 minutes using Type II @ 50RPM**

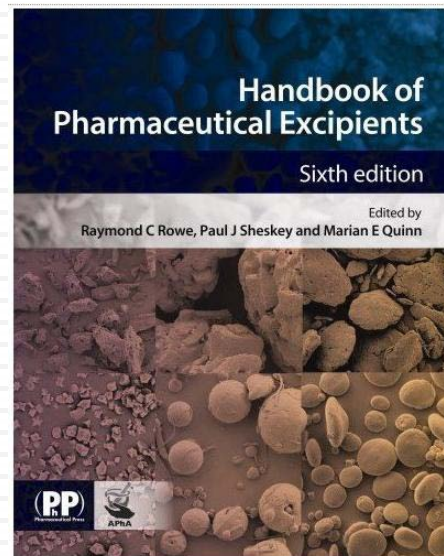
If BE to the reference listed drug has been established for the one strength (generally the highest) of a generic drug **product line then**, as per FDA's General Guidance on Bioavailability and Bioequivalence for Orally Administered Drug Products (BA/BE Guidance)

# Bio-waiver for Generic BCS Class I

12

- Dissolution can also be used to support applicant requests for bio-waivers for various ***strengths of a modified-release (Modified Release) drug product line.***
- In this case, the DBE ( Dept. Of Bio Equivalence) may decide that it is unnecessary to conduct in vivo studies on one or more strengths based on acceptable dissolution performance, proportional similarity among strengths, and an acceptable in vivo study on one (***generally the highest***) strength.

# SUPAC



Change		
Site	Composition	Scale, Process and Equipments

- ❑ SUPAC IR (immediate release)
- ❑ SUPAC MR (modified release)
- ❑ SUPAC IR/MR equipment addendum
- ❑ SUPAC IR Q&A
- ❑ SS: Non sterile semi-solids + equipment addendum

# Components and composition

15

**Levels of change:** Likelihood of impact on formulation quality and performance

Level 1: **unlikely** to have detectable impact

Level 2: **could have** significant impact

Level 3: **likely** to have significant impact



# Components and composition

16

**Level 1 changes:** Quantitative only (except IR: colour, flavour, ink; MR: + preservative).

**Level 2 changes:** Quantitative more than Level 1, plus any change in excipient grade (MR: + change in excipient specifications).

**Level 3 changes:** Quantitative More than Level 2, plus addition or deletion of an excipient (except for a colour, flavour, ink).

## **Level 1 changes**

***Addition or deletion of a colour or flavour, or change in an excipient (or preservative (MR))***

Changes less than the following table level 1 column (expressed as percentage of the total formulation)

**[Note that total additive effect should not exceed 5% of total target FPP weight]**



# Composition – Level 3 Changes

17

- Any change beyond level 2 **OR:**
- Any level 2 change for a BCS class 4 (**low solubility and low permeability**) or **narrow therapeutic drug**
- **Drugs not meeting the level 2 dissolution testing**

For both level 2 and level 3 changes, **therapeutic range, solubility and permeability are factors to consider.**

# SUPAC – L1 /L2 Changes – OSD Example

18

Additive	Excipient (%)	
	L1	L2
Type		
Filler	+/-5	+/-10
Disintegrant	+/-3	+/-6
Starch		
others	+/-1	+/-2
Binders	+/-0.5	+/-1

# Recommended documentation – level 1/2

19

Requirements for level 1 include **Stability testing: one batch on long-term stability data reported in annual report.**

**Supportive dissolution data: none**

Supportive in-vivo bioequivalence testing:  
**none**

Requirements for level 2 include stability testing, dissolution testing and possibly an **in-vivo** study (depending on the results of dissolution testing).

IR guideline: the dissolution testing required depends on the **BCS class** of the API.

MR guideline: the dissolution testing depends on the **type of release** of the FPP.

# Recommended documentation – level 3

20

Requirements for level 3 include *stability testing, dissolution testing and an in-vivo study.*

# Formulation Changes ( Application Vs Reviewer)

21

Sponsor (Change in  
formulation in % in  
lubrication stage)

- Lactose 4.05
- Magnesium stearate 0.49
- Talc 1.94
- Colloidal silicon dioxide (SiO<sub>2</sub>) 1.62

Assessors or  
Reviewer

- Lactose 4.05 – L1 (filler)
- Magnesium stearate 0.49 – L1 -  
(Lubricant)
- Talc 1.94-L2 (Glidant)
- Colloidal silicon dioxide (SiO<sub>2</sub>)  
1.62 –L2 (Talc)

# Composition – Level 1/2 Changes – Formulation Change

22

Excipient	% Excipient	
Lubricant	L1	L2
Calcium or Magnesium Sterate	+/- 0.25	+/- 0.5
Glidant	+/- 1	+/- 2
Talc	+/- 0.1	+/- 0.2
Others Film Coat	+/- 1	+/- 2

API was of low solubility and  
Mag. Sterate will have impact on  
Dissolution Hence Border Level 2

## SUPAC does:

- ❑ discuss relative changes in formulation
- ❑ discuss supporting data to support a change
- ❑ give an idea of how to consider various changes by looking at the change coupled with the API characteristics

## SUPAC does not:

- ❑ substitute for critical thinking (e.g. formulation changes for modified release products)

## Level 1

- Change in the Validation Range - Mixing Time, Blending Time
- **Recommendation** One Batch on Long Term Stability

## Level 2

- Change in Mixing Speed – within Validated Range
- **Recommendation** One Batch on Long Term Stability & Dissolution

## Level 3

- Change in Manufacturing Process – Wet to Dry Granulation
- **Recommendation** One Batch on Long
- Term Stability, Dissolution & BE



25

## Drug Dumping due to Alcoholic Beverages

# HydroMorphone – MR Dosage Form – Drug Dumping

26

1. Modified Dosage form – Steady Release of Drug.
2. Sponsor of ANDA must study the effect of Alcohol along with the RLD and submit the data
3. RLD and Sponsor Product ( ANDA) to be subjected to dissolution in 0.1N HCl along with - 0%,5%,20% & 40% ethanol ( Every 15 min one sampling over a period of 120 min)

Ref : FDA's ACPS Meeting, October 2005 Awareness Topic: Mitigating the Risks of Ethanol Induced Dose Dumping from Oral Sustained/Controlled Release Dosage Forms

27

## DESI Drugs Approval

# History of Drug Approval @ USA

28

- ❑ Drug Approval Based on the Safety Only at USA(1938-1964)
- ❑ Kefauver Harris Amendment to D & C act at USA
- ❑ NAS ( National Academy of Science) and NRC ( National Research Council) – Responsible for Drug Efficacy Study
- ❑ DESI Implementation at USA & DESI Drug List Prepared
- ❑ Equivalent Code of AA started

## Dissolution Test – Other Oral Products

# Other Oral Dosage Forms

30

- ❑ Suspensions can be considered to be similar to disintegrated forms of solid formulations.
- ❑ DBE generally recommends the use of USP apparatus 2 (paddle) at 25 or 50 rpm for suspension.
- ❑ Liquid Filled Capsule Containing the lipophilic Drug – DBE recommends “Rupture test” & release of the content into the media
- ❑ Chewing Gums – *In-vitro drug Dissolution Test – Complex system*

## Non Oral Dosage Forms

# Non Oral Dosage Forms

32

- ❑ For non-oral dosage forms the test is referred as “drug release” rather than “dissolution”
- ❑ It is essential to have the efficacy testing as part of the Dossier development.
- ❑ DBE and FDA encourages the Sponsors to develop methods ( discriminating)
- ❑ Type 4 Flow through is recommended
- ❑ Ointments, Creams, Lotions – ANDA –*In-vitro Dissolution Study* will not be a surrogate for *in-vivo* ( However Franz Cell diffusion study for the SUPAC in semisolid)